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PTO/SB/21 (09-04)

Approved for use through 07/31/2006.

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are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/657.978 Filing Date TRANSMITTAL September 9, 2006 First Named Inventor **FORM** Kazunari Hanao Art Unit 2851 **Examiner Name** Melissa J. Koval (to be used for all correspondence after initial filing) Attorney Docket Number **SAS2-PT059** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC |X|Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53

# SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name VOLPE AND KOENIG, P.C. Signature Printed name Louis Weinstein Date November 6, 2006 Reg. No. 20,477

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



### HE UNITED STATES PATENT AND TRADEMARK OFFICE

# In the **PATENT APPLICATION** of:

Kazunari Hanano

**Application No.:** 10/657,978

Confirmation No.: 1280

Filed: September 9, 2003

For: ILLUMINATION APPARATUS AND IMAGE PROJECTION APPARATUS USING

THE ILLUMINATION APPARATUS

Group:

2851

Examiner:

Melissa J. Koval

Our File: SAS2-PT059

Date: November 6, 2006

### **AMENDMENT UNDER RULE 312**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Amendment is being timely filed in response to the Notice of Allowance dated August 23, 2006.

Please amend the application without prejudice or disclaimer as follows: